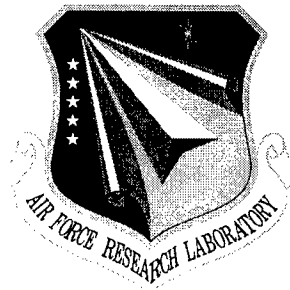


**AFRL-SN-RS-TR-2001-146 Vol IV (of VI)**  
**Final Technical Report**  
**July 2001**



# **KNOWLEDGE BASE APPLICATIONS TO ADAPTIVE SPACE-TIME PROCESSING, VOLUME IV: KNOWLEDGE-BASED TRACKING**

**ITT Systems**

**Technology Service Corporation**

**Charles Morgan and Lee Moyer**

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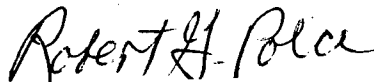
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13. ABSTRACT (Maximum 200 words) This report describes a knowledge-based tracking capability that will support a space-time adaptive processing (STAP) environment. The effort described in this report resulted in development of a multiple target tracker and the design and testing of several knowledge-based rules.  Three types of tracking filters are described:  1. An uncoupled two-state alpha-beta filter with position and velocity component states, 2. An uncoupled three-state Kalman filter with position, velocity, and acceleration component states, and 3. An extended four-state Kalman filter with both x and y position and velocity component states.  The first two filters use a one-dimensional measurement vector containing the report position component. The extended Kalman filters uses a three-dimensional measurement vector containing x and y report position and "pseudo" Doppler, the latter defined as range times range rate.				
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## 1.0 Introduction and Overview

The purpose of this effort has been to provide ITT Systems & Sciences with a knowledge based tracking capability that will support a space time adaptive processing (STAP) environment. This has included the development of a basic multiple target tracker and the design and testing of several knowledge based rules. A rule book containing 25 potential knowledge based rules was developed and is presented in Volume V.

For the purpose of ITT's application, the main elements of the tracker software can be imbedded in a larger STAP simulation by removing the GUI. The key tracking modules are `setup_Tracker_6` and `Run_Tracker_8`.

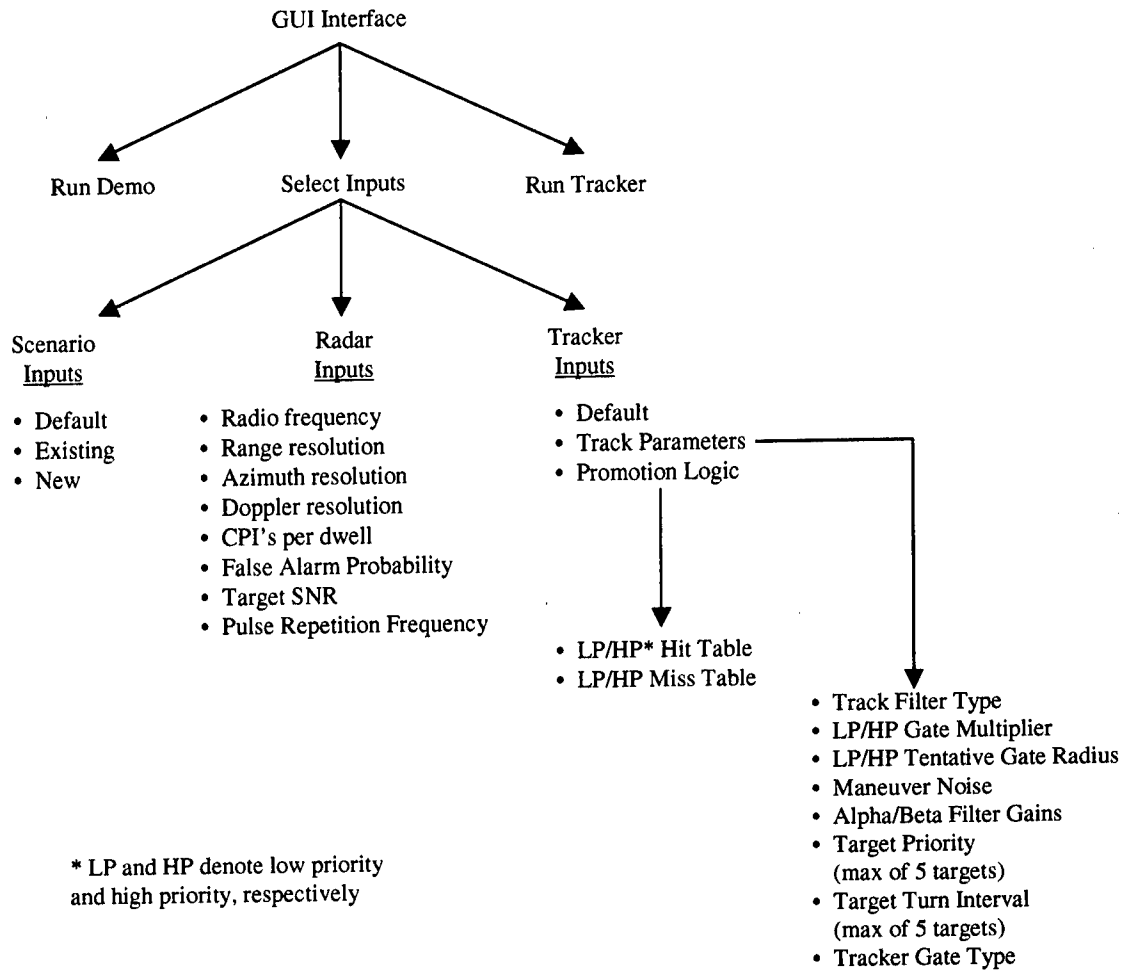
The `Run_Tracker_module` allows the use of three types of tracking filters. These include:

1. an uncoupled two state alpha beta filter with position and velocity component states,
2. an uncoupled three state Kalman filter with position, velocity, and acceleration component states, and
3. an extended four Kalman filter with both x and y position and velocity component states.

The first two filters use a one dimensional measurement vector containing the report position component. The extended Kalman filter uses a three dimensional measurement vector containing x and y report position and "pseudo" Doppler, the latter defined as range times range rate.

The tracking software as delivered has been imbedded in a GUI structure that makes it easy to exercise the tracker under a variety of conditions. Using the GUI, the user can interactively select existing or new target scenarios and tracking options prior to tracker execution. Figure 1-1 shows the list of available options.





**Figure 1-1: Tracker GUI Options**

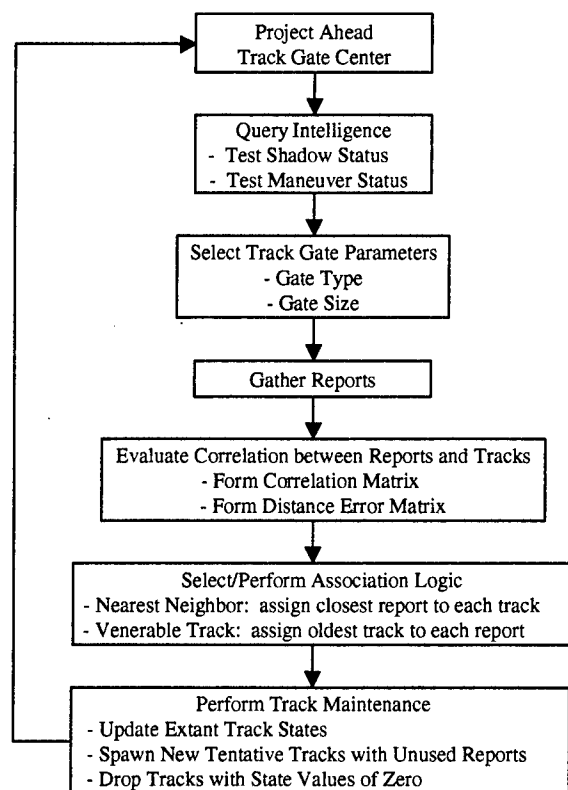
## 2.0 Tracker Description

The first part of this section contains a narrative description of the basic multiple target tracker. Detailed documentation has been provided in Section 3. This is followed by a discussion of three knowledge based tracking rules that can be used to support the STAP processor. Simple tracking simulations have been provided to illustrate the use of these rules.

### 2.1 Basic Tracker

A high level description of the multiple target tracker function is shown below in Figure 2-1. The tracker processes reports on a per scan basis and makes use of a track table that contains several track attributes as well as kinematic information. The key attributes include the tracks identification number, its state value, which is a measure of track quality, and its status. Track status can be *dropped*, *tentative*, or *firm*. In the current software, a dropped status is assigned to a track whose state has been demoted to zero, a tentative status is assigned to a new

track formed by an unused report with a state value initialized to one, and a firm status is given to any track with a state value greater than one. The tracker's function consists of a correlation section together with association and track maintenance sections.



**Figure 2-1: High Level Tracker Flow**

The correlation section is performed by testing for inclusion each current report against each extrapolated track gate and forming a binary correlation matrix with ones in the capture positions. Tentative track gates formed from unassociated reports are extrapolated by centering a circle of kinematically-determined maximum radius about the report, whereas firm track gates are extrapolated by using the track filter prediction equations. Track gate sizes are typically a function of both the measurement and prediction uncertainties, as well as the track's maneuver status. A distance matrix of the same size as the correlation matrix is also formed containing the report-to-gate center distances.

The association and maintenance section uses the above correlation and distance error arrays to assign reports and tracks in a unique manner. In the even that multiple reports are common to a given track gate, or multiple tracks capture a common report, the association logic will resolve the conflict. Furthermore, any reports that are not assigned to an existing track will be used to spawn a new track designated to have a tentative status. Two simple association logics are currently available. There is a "nearest neighbor" logic that assigns the closest captured report to a given track and there is a "venerable track" logic that associates the oldest common track to a given report.

After all tracks and reports have been associated, the track state promotion logic is applied. Track states are updated using either a "hit" or a "miss" table, depending on whether they correlate with a report. These state tables are usually designed to require specified numbers of successive hits and misses before a track is declared as firm or dropped. Two examples are given below. In Case 1, tables Hit1 and Miss1 allow a tentative track to build up to firm status at state level 4 after three successive this, and to demote with each miss until it reaches state 0 where it is dropped. In Case 2, tables Hit2 and Miss2 show a more complex strategy. A tentative track promotes up to a firm status of 3 after two hits. However, there are hold states 4,5 and 6 which allow the track to recover its firm status more quickly after a single miss.

Case 1				
State	1	2	3	4
Hit1	2	3	4	4
Miss1	0	1	2	3

Case 2						
State	1	2	3	4	5	6
Hit2	2	3	3	5	3	3
Miss2	4	6	5	0	4	0

Once track state logic has been applied, the track table is updated. All tracks with state values of 0 are removed from the table. Furthermore, all reports that were not associated with extant tracks are used to spawn new tentative tracks that are added to the table.

## 2.2 Knowledge Based Rules

Knowledge based rules make use of extended map and intelligence information, and are used to improve the tracker's ability to support STAP processing requirements. Key issues include the tracking of targets in regions adjacent to large discrettes, and in shadow zones that are blocked from the radar's line of sight. Using map information the tracker should also be able to anticipate a target maneuver that will be required to avoid obstacles. Queued with this information, the tracker will apply appropriately shaped track gates that enhance its capability of capturing reports while maintaining reasonable gate sizes. Four rules are discussed below, along with simple tracking simulations.

In the following examples single target tracks are displayed graphically with the following conventions:

### 2.2.1 Tracking Legend:

- + Predicted gate center position
- \* Measured report position
- o Coasted track position
- Extrapolated position of a missed detection
- d Dropped tentative track
- D# Dropped firm track
- # Corresponds to age (scans) of dropped track

In addition, all the results were obtained using an uncoupled Kalman tracking filter and all simulations assumed a ten second scan period.

### 2.2.2 Maneuver/Obstacle Rules:

Both alpha beta and Kalman tracking filters do a good job with targets that move along a constant heading with a fixed speed. Deviations from a straight path cause prediction errors to occur and can ultimately result in a dropped track. Therefore it is important, whenever possible, to anticipate target maneuvers by several scans. This allows time to make such adjustments as increasing the gate size and track gain, or using a shaped gate to allow for across track deviations caused by target turning.

If a track approaches an obstacle whose across track extent is  $H$ , a maneuver can be anticipated to occur within a time extent no longer than  $T_{\max}$ . For this discussion, assuming a constant target speed  $v$  and a maximum possible acceleration  $A_{\max}$ , this extent is given by:

$$T_{\max} = \frac{\rho}{v} \cos^{-1}(1 - H / \rho)$$

where

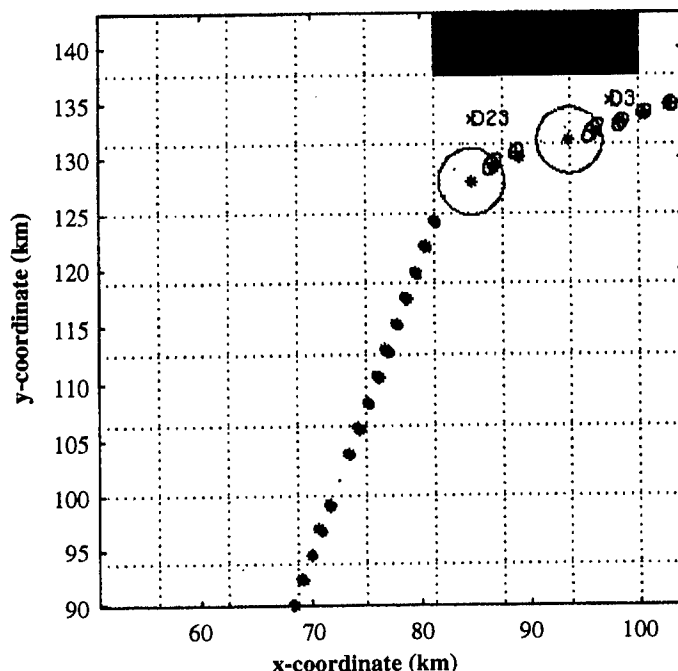
$$\rho = v^2 / A_{\max}$$

denotes the radius of curvature of the target turn required to clear the obstacle. Somewhere within this time period the tracker should apply its maneuver logic.

Figures 2-2 to 2-4 use the same section of track to illustrate the effect of different gating strategies on the tracker's ability to handle a maneuvering target. Performance is computed for a constant speed (250 meters/sec), high SNR (20 dB at mid range) Swerling 1 target, as it approaches an obstacle (shaded rectangular region) from below and begins to turn after the twenty third scan.

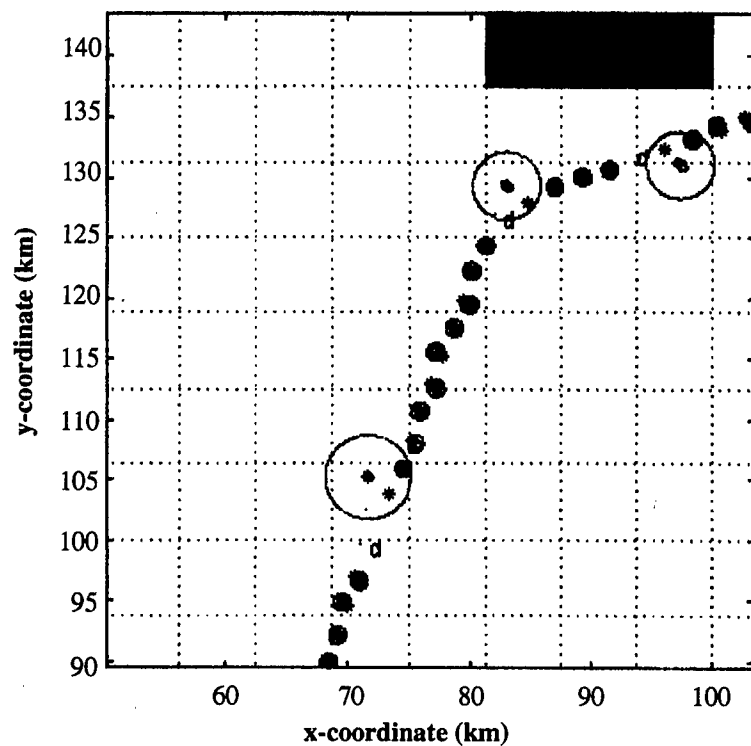
Figure 2-2 shows the response of an uncompensated tracking filter using a standard track gate centered on the predicted gate center, and oriented along and across range with a size dependent on both the measurement and prediction errors. No target acceleration has been

assumed and no maneuver noise has been added. While the straight line section is handled adequately, the initial track begins to lose the target after the turn begins, whereupon it is demoted to a dropped status on the next four scans. The three kilometer circular gates indicate newly spawned tentative tracks that were subsequently updated to firm status.



**Figure 2-2:** Uncompensated Tracker Response for Maneuvering Target

The first maneuvering target rule specifies the use of shaped elliptical gates. Figure 2-3 shows the same target as tracked using a combination of two gates, both centered on the predicted gate center. One gate is oriented along and across range, with a size determined by range and angle measurement uncertainties. The second gate is oriented along and across the targets instantaneous track and its size is a function of the maximum turning acceleration of the target, assumed here to be 0.5g units. Reports capture occurs if the measurement falls within either gate. Except for a missed report early in the linear part of the trajectory, causing the gate to swell initially and then settle down, the track is maintained throughout the maneuver. Each lower case d symbol indicates a dropped tentative track. This occurs when such a track fails to capture a report on the following scan. The large three kilometer circles denote tentative tracks that were successfully promoted to firm status. Finally, the dots occurring in both Figures 2-2 and 2-3, shown extrapolated from the straight line section of the approaching track, represent missed detections. While actual gates existed for these cases, they have been drawn here only or situations in which reports were captured.



**Figure 2-3:** Compensated Tracker Response for Maneuvering Target.  
Track Oriented Elliptical Gates used with 0.5g Across-Track Acceleration Allowance

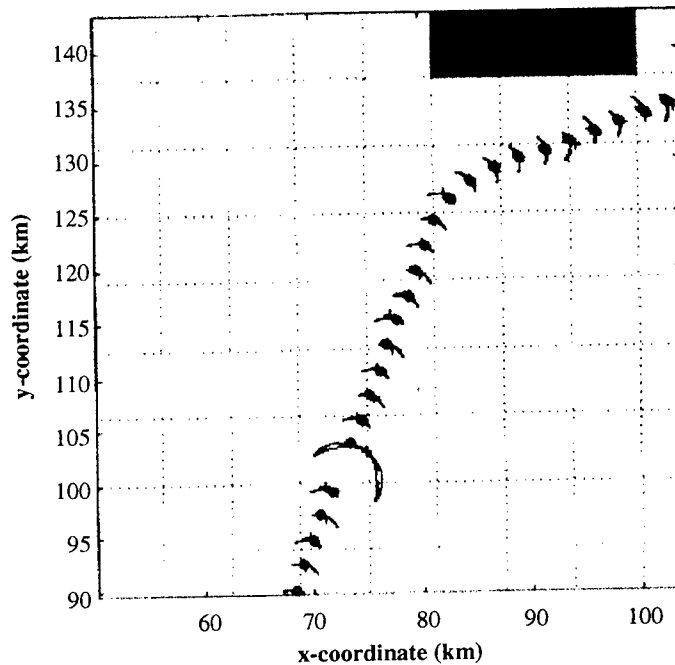
The second maneuvering target rule specifies the use of a gate whose shape is determined solely by centripetal turning mechanics. Figure 2-4 shows the target being tracked using a “smile shaped” gate whose shape is determined by the kinematic constraints imposed on a constant speed target undergoing a centripetal maneuver. Let  $t$  range over the time interval from the last track update till the next predicted report acquisition,  $T_{scan}$  seconds later. The maneuver envelope is given by the xy locus of points, oriented along and across the track, and generated by the equations:

$$x = vt + \rho \sin \theta$$

$$y = \rho(1 - \cos \theta)$$

$$\theta = v(T_{scan} - t) / \rho$$

where the radius of curvature  $\rho$  is defined as above. For this gate, a track capture occurs if the measurement ellipse, centered on the measured report and oriented along and across range, intersects the smile locus. As in the previous example, this gate is able to maintain the track throughout the target maneuver. One advantage of this gate is that it has a relatively small area as compared with other maneuver gates and this makes it less likely to capture any false alarms.

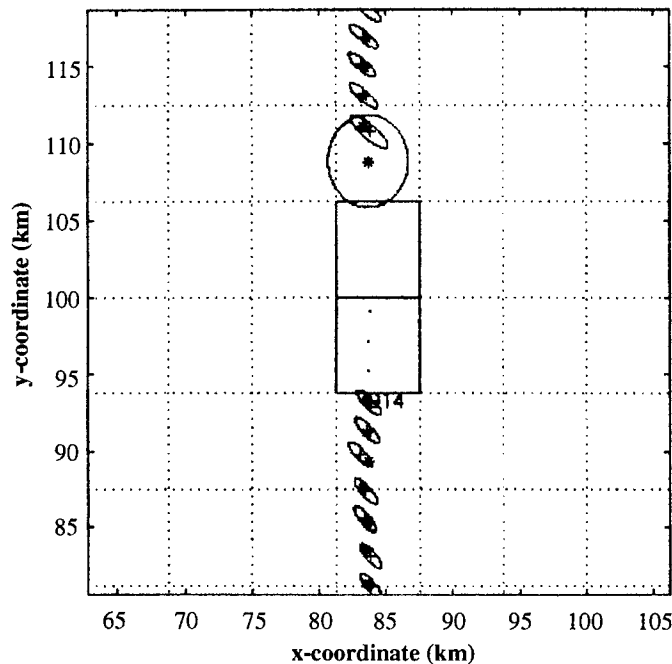


**Figure 2-4:** Compensated Tracker Response for Maneuvering Target. “Smile” Shaped Centripetal Gates used with (0.0 – 0.5) g Range of Across-Track Acceleration Allowance

### 2.2.3 Shadow Rule:

The shadow rule provides a means of preserving firm tracks that enter regions shadowed from the radar line of sight. If the predicated track gate center falls within a designed shadow region, both the track state and gate size will be frozen. Upon emerging from the shadow, state promotion resumes and the gate size will not be allowed to exceed a maximum value. In the tracker scenario used in Figures 2-5 and 2-6, only a minimal amount of acceleration noise, 0.05 g units, was used in order to maintain a straight line coasting of the track through the shadow. As previously, the target has a speed of 250 meters per second and the update scan time is 10 seconds.

Figure 2-5 shows a section of tracker response, when no shadow rule is in effect, for a target approaching a shadow zone (shaded rectangle) from below. The three dots denote extrapolated positions of the initial track where no reports were captured. After four demotions the firm track that initially entered the shadow was dropped, as indicated by the D14 symbol. A new tentative track was spawned, and promoted, once the predicted gate positions moved beyond the shadow. Note that the D symbol has been placed at the last updated track position, just prior to entering the shadow, where the track was 14 scans old.

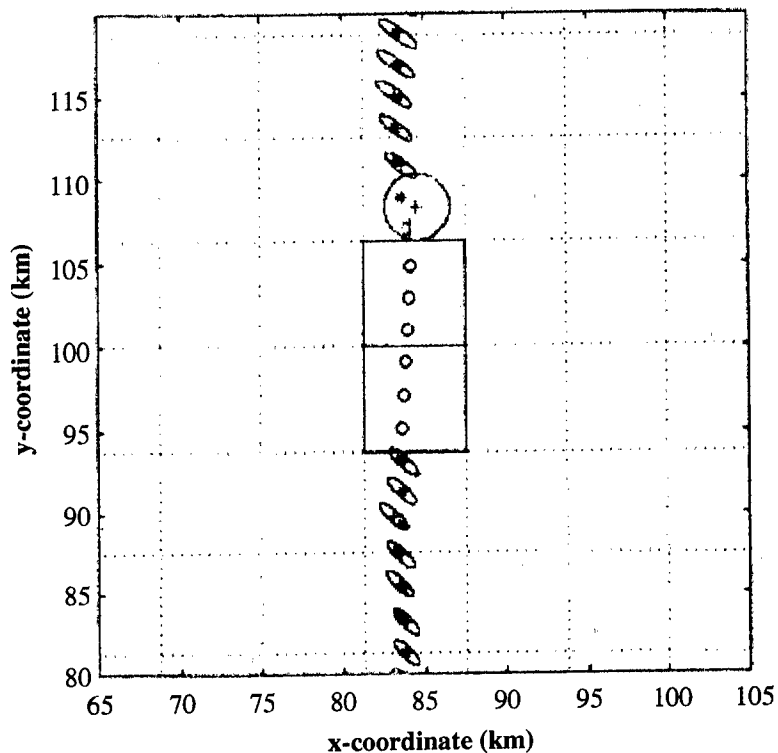


**Figure 2-5:** Tracker Response for Target Flying through Shadow  
No Shadow Rule Applied

Figure 2-6 shows the track history for the same target-shadow scenario when the shadow rule was in place. The circles in the shadow denote coasted track positions at which the track state was held fixed. Once the predicted gate position emerged from the shadow, there was a



moderate increase in gate size, after which it settled down, and the original firm track continued undisturbed.

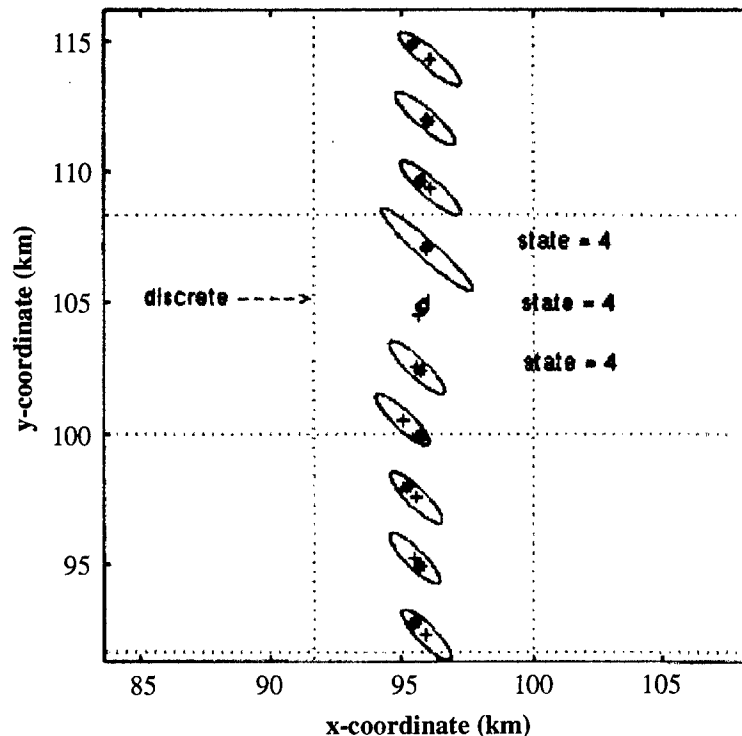


**Figure 2-6:** Tracker Response for Target Flying through Shadow  
Shadow Rule Applied

#### 2.2.4 Discrete Rule:

By tagging large radar returns, or discrettes, the STAP processor can exclude regions containing them from its covariance matrix element formation and thereby not use up limited degrees of freedom on their cancellation. The discrete rule allows the tracker to coast through any region containing one of these tagged returns and to essentially ignore it. If a known discrete falls within a track gate, that track will be treated as if in a shadow and will not be updated.

In Figure 2-7, the same target speed, update time, and acceleration noise have been used as in the shadow rule examples. A discrete has been inserted in the target trajectory as shown. As indicated, the tracker preserves the state value of 4 as the predicted gate positions passes through the discrete.



**Figure 2-7: Tracker Response for Target Passing Near a Discrete**  
Discrete Rule Applied

The previous discussion looked at the implementation of four specific tracking rules. However, many more rules that were considered relevant to the STAP problem were developed during the course of this tracking study. In addition to the rule topics discussed here, issues regarding the assignment of target priority, detection threshold, state promotion logic, and other features were considered. A collection of twenty-five such rules are presented in the "Knowledge-Based Tracker Rule Book" that is included in Volume V of this report. Included with each rule is a discussion of its rationale, its impact on the overall knowledge based system and interface requirements that might exist between the tracker, controller and radar.

### 3.0 Software Description

All tracking software used in this effort has been written in Matlab 5.1. A total of 49 modules are listed and documented below. These can be subdivided into three groupings consisting of user interface, main tracker, and tracker utilities. The first two modules belong to the user interface group. These are Tracker\_GUI\_7, which provides the graphical user interface for the overall tracking simulation, and Setup\_Tracker\_6, which sets up the interface between the tracker and the scenario generator. The main tracker group contains the multiple target tracking module, Run\_Tracker\_8, which carries out all prediction, smoothing, and association operations on report data on a per scan basis. Finally, the tracker utilities group contains all of the support modules that are used by the tracker. It should be noted that some of the modules supporting the user interface group have not been documented since that portion of the software is going to be removed by the customer and replaced with their own hooks into the STAP simulation software.

## User Interface Modules

Tracker\_GUI\_7  
Setup\_Tracker\_6

## Main Tracker Module

Run\_Tracker\_8

## Tracker Utility Modules

put\_Table\_R\_2  
get\_Table\_R\_2  
put\_Table\_Trk\_4  
get\_Table\_Trk\_4  
add\_Table\_Trk\_4  
Cleanup\_Table\_Trk  
print\_Table\_Trk  
Display\_Trk\_Data\_5  
State\_Update  
set\_Trackstate  
set\_TrackHM\_stat  
set\_Track\_gates  
Shadow\_Updates  
set\_Track\_Shadow  
predict\_shlx\_x  
Kal\_b\_pred  
Kal\_c\_pred  
smooth\_shlx  
Kal\_b\_smth  
Kal\_c\_smth  
first\_smooth\_shlx  
abtrack\_init  
track\_init\_2

**Module Name:** Tracker\_GUI\_7

**Calling Module:** None

**Called Modules:** All\_Defaults\_4  
 Demo\_Defaults\_4  
 Read\_Scenario\_2  
 Write\_Scenario\_2  
 Track\_Data\_Gen\_4  
 Radar\_menu\_3  
 Tracker\_Opt\_menu\_2  
 Setup\_Tracker\_6  
 Run\_Tracker\_8

**Inputs:** None

**Outputs:** None

**Globals:** \*\*\*Radar\_menu\_3\*\*\*  
 first\_in\_Radar  
 f\_GHz N\_hits SNR0\_dB Prf\_kHz  
 DRng\_m Az\_mrad\_3dB Dop\_hz  
 Pfa SNR\_fa\_dB  
 \*\*\*Scenario\_menu\*\*\*  
 script\_name  
 Tracker\_Opt\_resp  
 \*\*\*Track\_Filter\_menu\*\*\*  
 Tfilt\_resp  
 \*\*\*Tracker\_param\_menu\*\*\*  
 first\_in\_Tr\_parm  
 Tfilt\_resp  
 Mult\_LP Mult\_HP radius\_TENT\_LP radius\_TENT\_HP  
 Man\_amt alpha beta Pri\_T  
 Turn\_int  
 gate\_case  
 \*\*\*Tracker\_prom\_menu\*\*\*  
 first\_in\_Tr\_prom  
 Hit\_Tbl\_LP Miss\_Tbl\_LP Hit\_Tbl\_HP Miss\_Tbl\_HP  
 \*\*\* \*\*  
 fig\_no\_T  
 Time X-meas Y-meas pDop\_meas report\_ID  
 X\_tru Y\_tru pDop\_tru  
 S11 S12 S13 S22 S23 S33

ang_pred	Angle (radians) of prediction point
ang_trk	Angle (radians) of track
gate_on	Draw track gate flag (1=> yes)
print_Trk_Tbl	Print track gate flag (1=> yes)
text_on	Label track with text (1=> yes)
N_shadow	Number of shadow zones in scenario
x_sh_LO	Array of low x shadow tile values
x_sh_HI	Array of high x shadow tile values
y_sh_LO	Array of low y shadow tile values
y_sh_HI	Array of high y shadow tile values
In_Shadow	In shadow flag (1=> yes)
Nshadow_dwells	Number of consecutive dwells in shadow

#### Globals:

Mult  
 Table\_R  
 Table\_T  
 DROPPED TENT FIRM  
 LP HP  
 SUM1\_ERROR SUM2\_ERROR  
 P\_DET  
 HP\_Buf  
 dBuf  
 Display\_cnt

#### Description:

Initializes and sets up variables to be used by the Run\_Tracker\_8 program. Puts scenario generator outputs in a form usable by tracker. Also adds false alarms to scenario generation data.

**Module Name:** **Run\_Tracker\_8**

**Calling Modules:** None (script file)

**Called Modules:**

put\_Table\_R\_2  
get\_Table\_R\_2  
get\_Table\_Trk\_4  
put\_Table\_Trk\_4  
add\_Table\_Trk\_4  
predict\_shlx\_x  
first\_smooth\_shlx  
smooth\_shlx  
Get\_Semiaxes\_3  
Test\_E\_Gate  
Test\_Smile\_Gate  
get\_def\_Cov\_manu  
Assign\_Cov\_manu  
State\_Update  
set\_Trackstate  
set\_TrackHM\_stat  
Pred\_Shadow\_Test  
Shadow\_Update  
Discrete\_Test  
set\_Track\_Shadow  
get\_track\_ang  
get\_pred\_ang  
update\_error  
TR\_Assoc\_Max\_T  
Display\_Trk\_Data\_5  
print\_Table\_T  
Load\_dBuf\_4  
plot\_dBuf  
Cleanup\_Table\_Trk

**Inputs:** setup by Tracker\_GUI\_7 and  
Setup\_Tracker\_6

**Outputs:** None

**Globals:** None

**Description:**

The multiple target tracker processes report data collected during each scan and performs three basic functions: track-report correlation, association, and maintenance.

**Module Name:** put\_Table\_R\_2

**Calling Module:** Run\_Tracker

**Called Modules:** None

**Inputs:**

Time	Time of radar blips
X_meas, Y_meas	Measured position (km)
FDop_meas	Measured pseudo Doppler (km*km/sec)
X_tru, Y_tru	True position (km)
FDop_tru	Truer pseudoDoppler (km*km/sec)
S11, ..., S33	Measurement Covariance
sig_Rng_km	Range Measurement error (km)
sig_Az_rad	Azimuth measurement error (radians)
sig_Rdot_kmps	Range rate measurement error (km/sec)
freq_GHz	Radio frequency of radar (GHz)
Det_Level	Detection level
Prior_intel	Priority status
Manu_intel	Maneuver status
report_ID	Trajectory ID of report
Nrep	Number of reports calling Module: Run_Tracker

**Called Modules:** None

**Inputs:**

Time	Time of radar blips (sec)
X_meas, Y_meas	Measured position (km)
FDop_meas	Measured pseudo Doppler (km*km/sec)
X_tru, Y_tru	True position (km)
FDop_tru	True pseudoDoppler (km*km/sec)
S11, ..., S33	Measurement Covariance
sig_Rng_km	Range Measurement error (km)
sig_Az_rad	Azimuth measurement error (radians)
sig_Rdot_kmps	Range rate measurement error (km/sec)
freq_GHz	Radio frequency of radar (GHz)
Det_Level	Detection level
Prior_intel	Priority status
Manu_intel	Maneuver status
report_ID	Trajectory ID of report
Nrep	Number of reports calling Module: Run_Tracker
scan	Current scan index

**Outputs:** Table\_R

Globals:

Table\_R

Description:

Load the report table buffer for the current scan. All arrays are indexed as (report, scan) and were created by a scenario generator.



**Module Name:** get\_Table\_R\_2

**Calling Module:** Run\_Tracker\_8

**Called Modules:** None

**Inputs:**

rep	report index
-----	--------------

**Outputs:**

T_m	Blip time (sec)
X_m, Y_m	Measured position (km)
FDop_m	Measured Doppler (km*km/sec)
Cov_m_vec	Measured covariance vector
sig_rng_km_m	Range measurement error (km)
sig_crng_km_m	Cross range measurement error (km)
sig_Rdot_kmps_m	Range rate measurement error (km?sec)
freq_GHz	Radar rf frequency (gHz)
Det_m	Detection level
priority_in	Priority Status
maneuver_in	Maneuver status
report_source	Trajectory ID
X_tru_m, Y_tru_m	True position (km)
FDop_tru_m	True pseudoDoppler (km*km/sec)
error_status	not used

**Globals:** Table\_R

**Description:**

Fetch report table data for current scan.

**Module Name:** put\_Table\_Trk\_4

**Calling Module:** Run\_Tracker\_8

**Called Modules:** None

**Inputs:**

trk	Track index
TID	Track ID number
status	Track status (drop, tentative, firm)
state	Track state (quality index; 0 => dropped)
report	Captured report index
scanl	Scan index when track became firm
trk_type	Tracker type (alpha beta, unc/extd Kalman)
Z_m	Measurement state vector
Cov_m_vec	Measurement covariance vector
T_m	Measurement of time of captured report
priority	Priority status of track
maneuver	Maneuver status of track
Z_p	Prediction state vector
Cov_p_vec	Prediction covariance vector
Z_s	Smoothed state vector
Cov_s_vec	Smoothed covariance vector
HM_flg	Track capture flag (0 => miss, 1 +. hit)
ang_trk	Track angle (radians)
x_s_2LST	Last track x position (km)
y_s_2LST	Last track y position (km)
T_s_2LST	Last track time (sec)
semi_rng_T	along range semi axis (km)
semi_crng_T	cross range semi axis (km)
semi_trk_T	along track semi axis (km)
semi_ctrk_T	cross track semi axis (km)
In-Shadow	Shadow status flag (0 => not in)
Nshadow_dwells	Number of successive dwells in shadow
gate_case	Track gating choice (0:3)
Accel_max	Maximum acceleration (km/sec*sec)
sig_rng_km	range measurement error (km)
sig_crng_km	cross range measurement error (km)
sig_Rdot_kmps2	range rate meas error (km*km/sec)

**Outputs:**

error\_status  
Table\_T

Globals: Table\_T

Description:

Updates track table data for current scan and report index.

**Module Name:** get\_Table\_Trk\_4

**Calling Module:** Run\_Tracker\_8

**Called Modules:** None

**Inputs:**

trk

**Outputs:**

TID	same as inputs to put_Table_Trk_4
.	same as inputs to put_Table_Trk_4
.	.
.	.
sig_Rdot_kmps2	.

**Globals:** Table\_T

**Description:**

Fetch track table data for current scan and report index.

**Module Name:** add\_Table\_Trk\_4

**Calling Module:** Run\_Tracker\_8

**Called Modules:** None

**Inputs:**

Ntrk	
num_new_trks	
TID_New	same as inputs to put_Table_Trk4
.	.
.	.
.	.
sig_Rdot_kmps2	.

**Outputs:**

error\_status  
Table\_T

**Globals** Table\_T

**Description:**

Insert new tentative tracks into track table.

**Module Name:** Cleanup\_Table\_Trk

**Calling Module:** Run\_Tracker\_8

**Called Modules:** None

**Inputs:** None

**Outputs:**

Ntrk                      Number of valid tracks

**Globals:** Table\_T

**Description:**

Updates track table for next scan. Sorts Table\_T by column 3 (state) and removes all zero states. Counts number of remaining valid tracks and returns this value.

**Module Name:** **print\_Table\_T**

**Calling Module:** **Run\_Tracker\_8**

**Called Modules:** **None**

**Inputs:**

**Ntrk**                      **Number of track sets to be printed (max = 10)**  
**sup**                        **vector of Table\_T indices to be printed**

**Outputs:**                      **prints to command tool**

**Globals:**                      **Table\_T**

**Description:**

**Prints track table information as columns (one per track). Support vector sup is subset of {1:86} corresponding to fields in Table\_T.**

**Module Name:** Display\_Trk\_Data\_5

**Calling Module:** Run\_Tracker\_8

**Called Modules:** Draw\_Grid  
get\_Table\_Trk\_4  
get\_Table\_R\_2  
Assign\_Cov\_manu  
predict\_shlx\_x  
get\_sig\_track  
get\_pred\_ang  
E\_Gate\_Semiaxes  
Draw\_Smile\_Gate  
Draw\_E\_Gate\_2

**Inputs:**

Ntrk	Number of tracks to be plotted
scan	current scan index
scan_period	scan time (sec)
sig_rng_km_nom	not used
sig_Az_rad_nom	not used
plot_vec	plot scale: (xmin, xmax, ymin, ymax)
x_v, y_v	x and y grid point sets
dx, dy	x and y grid spacing
gate_on	Draw track gate flag (1 => yes)
text_on	Print Text gate
fig_no	plot figure number
symbol_1	rng-cross rng aligned gate symbol
symbol_2	trk-cross trk aligned gate symbol
semi-max	maximum gate size allowed

**Outputs:** graphical display in figure (fig\_no)

**Globals:**

Display\_cnt  
Table\_R Table\_T  
DROPPED TENT FIRM LP HP  
Mult\_LP Mult\_HP radius\_TENT\_LP radius\_TENT\_HP  
sig\_trk\_km sig\_ctrk\_km gate\_case  
Cov\_man20 Cov\_man30 Cov\_man\_acc\_x Cov\_man\_acc\_y

**Description:**

The module serves as a diagnostic and display tool for the multi target tracker. It displays predicted and measured positions prior to track table cleanup and draws track gates centered on predicted positions.



**Module Name:** set\_Trackstate

**Calling Module:** Run\_Tracker\_8

**Called Modules:** None

**Inputs:**

state  
trk

**Outputs:** None

**Globals:** Table\_T

**Description:**

Inputs state value into track table at index trk.

**Module Name:** set\_TrackHM\_stat

**Calling Module:** Run\_Tracker\_8

**Called Modules:** None

**Inputs:**

HM_flg	Capture/shadow status of track
trk	Track index

**Outputs:** None

**Globals:** Table\_T

**Description:**

Inputs the track capture/shadow status into track table.

**Module Name:** set\_Track\_gates

**Calling Module:** Run\_Tracker\_8

**Called Modules:** None

**Inputs:**

semi_rng_T	Along range semi axis of ellipse gate
semi_crng_T	Cross range semi axis
semi_trk_T	Along track semi axis
semi_ctrk_T	Cross track semi axis
trk	Track table index

**Outputs:** None

**Globals:** Table\_T

**Description:**

Inputs ellipse gate semi axes into track table corresponding to index trk.

**Module Name:** Shadow\_Update

**Calling Module:** Run\_Tracker\_8

**Called Modules:**  
set\_Track\_Shadow  
set\_TrackHM\_stat

**Inputs:**

trk	Track index into Table_T
In_Shadow	Shadow status flag (1 => in shadow)
Nshadow_dwells_last	Number of scans input trk in shadow
HM_flg_last	Capture/shadow status of input trk

**Outputs:** None

**Globals:** Table\_T

**Description:**

Updates track shadow status corresponding to index trk. If track is in a shadow, the number of shadow dwells is incremented and the capture status is set to the number 2. Otherwise, the number of dwells is set to zero and the capture status maintained.

**Module Name:** set\_Track\_Shadow

**Calling Module:** Run\_Tracker\_8 Shadow\_Update

**Called Modules:** None

**Inputs:**

Nshadow_dwells	Number of consecutive dwells in shadow
In_Shadow	in shadow status flag
trk	Index into Table_T

**Outputs:** None

**Globals:** Table\_T

**Description:**

Inputs shadow parameters into track table corresponding to index trk. These include (1) the # of consecutive dwells of track in a shadow, and (2) the in-shadow status flag (0 => not in shadow, 1 => in shadow).

**Module Name:** predict\_shlx\_x

**Calling Module:** Run\_Tracker\_8

**Called Modules:**  
Kal\_b\_pred  
Kal\_c\_pred

**Inputs:**

type	Tracking filter type (1:3 +. alpha beta, uncoupled Kalman, and extended Kalman)
Z_s_last	Smoothed state vector at last scan
Cov_s_last_vec	Smoothed covariance array at last scan
Cov_man_x	Maneuver covariance matrix of x component
Cov_man_y	Maneuver covariance matrix of y component
Cov_man	Maneuver covariance matrix of extended Kal
dT	Time interval from last update to present

**Outputs:**

Z_P	Prediction state vector
Cov_p_vec	Prediction covariance matrix

**Globals:** None

**Description:**

Computes predicted state vector and Covariance array, Z\_p and Cov\_p\_vec, respectively. The method used depends on the tracking filter type specified. The maneuver covariance matrices provide a means for inputting acceleration noise to increase track gate size for the Kalman filter cases

**Equations:**

alpha beta:

$$\begin{aligned}X_p &= x_{s\_last} + vx_{s\_last} * dT \\Y_p &= y_{s\_last} + vy_{s\_last} * dT \\Vx_p &= vx_{s\_last} \\Vy_p &= vy_{s\_last}\end{aligned}$$

where the components X\_p, Vx\_p, etc., are related to the state vectors Z\_p, Z\_s\_last, etc. by stacking the x and y components of position, velocity, and acceleration

$$Z = [X; Vx; Ax; Y; Vy; Ay]$$

Uncoupled Kalman:  
see Kal\_b\_pred

Extended Kalman:  
see Kal\_c\_pred

**Module Name:** Kal\_b\_pred

**Calling Module:** predict\_shlx\_x

**Called Modules:** None

**Inputs:**

Z_s_in	3 x 1	Smoothed input state vector (either component) [Position; Velocity; Acceleration]
dt_in		Time since last update
Cov_manu	3 x 3	Covariance matrix of maneuver noise
Cov_s_in	3 x 3	Smoothed input covariance matrix (either component) [Position; Velocity; Acceleration]

**Outputs:**

Z_p_out	3 x 1	Prediction state vector (corresponding component)
Cov_p	3 x 3	Prediction covariance matrix

**Globals:** Table\_T

**Description:**

Computes 3 x 1 prediction state vector and 3 x 3 prediction covariance matrix for the case of an uncoupled Kalman filter. All input and output state vectors are assumed to be 3 x 1, and all covariance matrices are 3 x 3.

**Equations:**

$$\phi = \begin{bmatrix} 1 & dT & 0.5(dT)^2 \\ 0 & 1 & dT \\ 0 & 0 & 1 \end{bmatrix}; 3 \times 3 \text{ state transition matrix}$$

$$Z\_p\_out = \phi * Z\_s\_in$$

$$Cov\_p = \phi * Cov\_s\_in * \phi' + Cov\_manu$$

( $\phi'$  denotes the transpose of  $\phi$ )



**Module Name:** Kal\_c\_pred

**Calling Modules:** predict\_shlx\_x

**Called Modules:** None

**Inputs:**

Z_s_in	4 x 1	Smoothed input state vector [X_s_in; Vx_s_in; Vy_s_in]
dt_in		Time since last update
Cov_manu	4 x 4	Covariance matrix of maneuver noise
Cov_s_in	4 x 4	Smoothed input covariance matrix

**Outputs:**

Z_p_out	4 x 1	Prediction state vector [X_p_out; Vx_p_out; Y_p_out; Vy_p_out]
Cov_p	4 x 4	Prediction covariance matrix

**Globals:** None

**Description:**

Computes 4 x 1 prediction state vector and 4 x 4 prediction covariance matrix for the case of an extended Kalman filter. All input and output state vectors are assumed to be 4 x 1, and all covariance matrices are 4 x 4.

**Equations:**

$$\phi = \begin{bmatrix} 1 & dT & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & dT \\ 0 & 0 & 0 & 1 \end{bmatrix}; 4 \times 4 \text{ state transition matrix}$$

$$Z\_p\_out = \phi * Z\_s\_in$$

$$Cov\_p = \phi * Cov\_s\_in * \phi' + Cov\_man$$

( $\phi'$  denotes the transpose of  $\phi$ )

**Module Name:** smooth\_shlx

**Calling Module:** Run\_Tracker\_8

**Called Modules:**

Kal\_b\_smth

Kal\_c\_smth

**Inputs:**

type	Tracking filter type (1:3 => alpha beta, uncoupled Kalman, and extended Kalman)
Z_m	Measurement vector (x;y;pDop)
Z_p	Prediction state vector (X;Vx;Ax;Y;Vy;Ay)
Cov_p_vec	Prediction cov array (Cov_p_x;Cov_p_y)reshaped to 1 x 18
Cov_m_vec	Meas cov array 1 x 9
dT	Time interval from last update to present

**Outputs:**

Z_s	Smoothed state vector (X;Vx;Ax;Y;Vy;Ay)
Cov_s_vec	Smoothed cov array (Cov_s_x;Cov_s_y)reshaped to 1 x 18
Gain_mat	Gain matrix (not used by tracker)

**Globals:** alpha beta

**Description:**

Computes smoothed state vector and Covariance array, Z\_s and Cov\_s\_vec, respectively. Also returns a gain matrix (not normally used) which can be used for diagnostic purposes. The smoothing method used depends on the tracking filter type specified.

**Equations:**

alpha beta:

$$\begin{aligned}Dx &= x_m - X_p \\x_s &= X_p + \alpha * Dx \\Vx_s &= Vx_p + \beta * Dx/dT\end{aligned}$$

$$\begin{aligned}Dy &= y_m - Y_p \\y_s &= Y_p + \alpha * Dy \\Vy_s &= Vy_p + \beta * Dy/dT\end{aligned}$$

where

$$Z_m = [x_m; y_m; pDop_m]$$

and Z\_p and Z\_s are 6 x 1 vectors of the position, velocity and acceleration components

Uncoupled Kalman:        see Kal\_b\_smth

Extended Kalman:        see Kal\_c\_smth

**Module Name:** Kal\_b\_smth

**Calling Module:** smooth\_shlx

**Called Modules:** None

**Inputs:**

Z_m	3 x 1	Measurement vector [x_m;y_m;pDop_m]
Z_p_out	3 x 1	Prediction state vector (either component) [Position; Velocity; Acceleration]
Cov_p	3 x 3	Covariance matrix of maneuver noise
Cov_s_in	3 x 3	Prediction covariance matrix
var_meas		Measurement variance

**Outputs:**

Z_s_out	3 x 1	Smoothed output state vector (either component) [Position; Velocity; Acceleration]
Cov_s_out	3 x 3	Smoothed output covariance matrix
Res	3 x 1	Residual error vector (Innovations)
dist_Res_2		Statistical distance
S	3 x 1	Gain matrix

**Globals:** None

**Description:**

Computes 3 x 1 smoothed state vector and 3 x 3 smoothed covariance matrix for the case of an uncoupled Kalman filter. All input and output prediction and smoothed state vectors are assumed to be 3 x 1, and all covariance matrices are 3 x 3.

**Equations:**

(for either x or y component)  
 $M = [1 \ 0 \ 0]$ ; Measurement Matrix (1 Measurement x 3 states)  
 $I\_Cov\_Res = 1/(M * Cov\_p * M' + var\_meas)$   
 $S = Cov\_p * M' * I\_Cov\_Res$ ; Gain Matrix (3 states x 1 measurement)  
 $Z\_s\_out = Z\_p\_out + S * (Z\_m - M * Z\_p\_out)$   
 $Cov\_s\_out = (I - S * M) * Cov\_p$   
 $Res = (Z\_m - M * Z\_p)$ ; Innovations  
 $dist\_Res\_2 = Res * I\_Cov\_Res * Res'$ ; Statistical distance

**Module Name:** Kal\_c\_smth

**Calling Modules:** smooth\_shlx

**Called Modules:** None

**Inputs:**

Z_m	3 x 1	Measurement vector (3 x 1) [x_m;y_m;pDop_m]
Z_p_out	4 x 1	Prediction state vector [X; Vx; Y; Vy]
Cov_p	4 x 4	Prediction covariance matrix
Cov_meas	3 x 3	Measurement Covariance

**Outputs:**

Z_s_out	4 x 1	Smoothed output state vector [X; Vx; Y; Vy]
Cov_s_out	4 x 4	Smoothed output covariance matrix
Res	3 x 1	Residual error vector (Innovations)
dist_Res_2		Statistical distance
S	4 x 3	Gain Matrix

**Globals:** None

**Description:**

Computes 4 x 1 smoothed state vector and 4 x 4 smoothed covariance matrix for the case of an extended Kalman filter. All input and output prediction and smoothed state vectors are assumed to be 4 x 1, and all covariance matrices are 4 x 4.

**Equations:**

$$M = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ M_{31} & M_{32} & M_{33} & M_{34} \end{bmatrix}; \text{Measurement matrix (3 Measurements x 4 states)}$$

where

$$M_{31} = 0.5 * Z\_p\_out (2)$$

$$M_{32} = 0.5 * Z\_p\_out (1)$$

$$M_{33} = 0.5 * Z\_p\_out (4)$$

$$M_{34} = 0.5 * Z\_p\_out (3)$$

Note that

$$(\text{pDop})_{\text{est}} = M_{31} * X_p + M_{32} * Vx_p + M_{33} * Y_p + M_{34} * Vy_p$$

$$I\_Cov\_Res = (M * Cov\_p * M' + Cov\_meas) - 1$$

$$S = Cov\_p * M' * I\_Cov\_Res ; 4 \times 3 \text{ Gain Matrix (4 states } \times 3 \text{ measurements)}$$

$$Res = (Z_m - M * Z_{p\_out}) ; 3 \times 1 \text{ Innovations}$$

$$Z_{s\_out} = Z_{p\_out} + S * Res \text{ } 4 \times 1$$

$$Cov_{s\_out} = (I - S * M) * Cov\_p \text{ } 4 \times 4$$

$$\text{dist\_Res\_2} = Res; * (I\_Cov\_Res) * Res$$

**Module Name:** first\_smooth\_shlx

**Calling Module:** Run\_Tracker\_8

**Called Modules:**  
abtrack\_init  
track\_init\_2  
track\_init\_c

**Inputs:**

type	Track filter type (1:3 => alpha beta, Uncoupled Kalman, and extended Kalman)
Z_m_last	Measured vector (last update)
Z_m	Measured vector (current)
Cov_m_vec	Meas Covariance array (current)
dT	Time interval (sec) from last update

**Outputs:**

Z_s_out	Smoothed state vector
Cov_s_vec	Smoothed covariance array

**Globals:** None

**Description:**

Performs smoothing of tentative tracks

**Equations:**

alpha beta:	see abtrack_init
-------------	------------------

**Uncoupled Kalman:** see track\_init\_2

**Extended Kalman:** see track\_init\_c

**Module Name:**                **abtrack\_init**

**Calling Module:**            **first\_smooth\_shlx**

**Called Modules:**           **None**

**Inputs:**

(x1,y1)	Last updated track position
(x2, y2)	Current track position
dT12	Time interval (sec)

**Outputs:**

(x_s, y_s)	Smoothed track position
(vx_s, vy_s)	Smoothed tracked velocity
ang_trk	Track angle (radians)

**Globals:**                    **None**

**Description:**

Does a two point initialization of an alpha beta tracker using current and last track positions and their time interval.

**Equations:**

$Dx = (x2 - x1)$   
 $x_s = x2$   
 $vx_s = Dx/dT12$

$Dy = (y2 - y1)$   
 $y_s = y2$   
 $vy_s = Dy/dT12$

$ang\_trk = \arctan (Dy/Dx)$



**Module Name:** track\_init\_2

**Calling Module:** first\_smooth\_shlx

**Called Modules:** None

**Inputs:**

Delt	Time (sec) between current and last update
z_pos_1	Last position component update (x or y)
z_pos_2	Current position component (x or y)
var_pos_m_2	Measurement variance of position component

**Outputs:**

Z_s_in	Smoothed state vector (3 x 1)
P_s_in	Smoothed covariance matrix (3 x 3)

**Globals:** None

**Description:**

Does a two point initialization of an uncoupled Kalman tracker. This routine is applied separately to both the x and y components of target motion.

**Equations:**

$$\begin{aligned} \text{pos}_s &= z\_pos\_2 \\ \text{vel}_s &= (z\_pos\_2 - z\_pos\_1)/\text{Delt} \\ Z\_s\_in &= [\text{pos}_s; \text{vel}_s; 0] \\ P\_s\_in &= \begin{bmatrix} Px_{11} & Px_{12} & 0 \\ Px_{12} & Px_{22} & 0 \\ 0 & 0 & 0 \end{bmatrix} \end{aligned}$$

where

$$\begin{aligned} Px_{11} &= \text{var\_pos\_m\_2} \\ Px_{12} &= Px_{11}/\text{Delt} \\ Px_{22} &= Px_{11}/(\text{Delt})^2 \end{aligned}$$

Module Name: track\_init\_c

Calling Module: first\_smooth\_shlx

Called Modules: None

Inputs:

Delt	Time (sec) between current and last update
(x1, y1)	Last position update
(x2, Y2)	Current position
sig_xx	Measurement covariance xx comp
sig_yy	Measurement covariance yy comp
sig_xy	Measurement covariance xy comp

Outputs:

Z_s_in	4 x 1	Smoothed state vector
P_s_in	4 x 4	Smoothed covariance matrix

Globals: None

Description:

Does a two point initialization to be used with the extended Kalman track filter.

Equations:

Let  $T = \text{Delt}$ ,  $T2 = T^2$   
 $\text{sig\_x} = \sqrt{\text{sig\_xx}}$ ,  $\text{sig\_y} = \sqrt{\text{sig\_yy}}$

$\text{pos\_sx} = x2$ ,  $\text{pos\_sy} = y2$ ; smoothed positions

$\text{vel\_sx} = (x2 - x1)/T$ ,  $\text{vel\_sy} = (y2 - y1)/T$ ; smoothed velocities

$Z\_s\_in = [\text{pos\_sx}; \text{vel\_sx}; \text{pos\_sy}; \text{vel\_sy}]$

$$P\_s\_in = \begin{bmatrix} P_{11} & P_{12} & P_{13} & P_{14} \\ P_{12} & P_{22} & P_{23} & P_{24} \\ P_{13} & P_{23} & P_{33} & P_{34} \\ P_{14} & P_{24} & P_{34} & P_{44} \end{bmatrix}$$

where

$P_{11} = \text{sig\_xx}$ ,  $P_{12} = 1.5 * P_{11}/T$ ,  $P_{13} = \text{sig\_xy}$ ,  $P_{14} = 1.5 * \text{sig\_x} * \text{sig\_y}/T$ .

$P_{22} = 6.5 * P_{11}/T2$ ;  $P_{23} = P_{14}$ ,  $P_{24} = \text{sig\_xy}/T2$

$P_{33} = \text{sig\_yy}$ ,  $P_{34} = 1.5 * P_{33}/T$

$P_{44} = 6.5 * P_{33}/T2$

**Module Name:** Assign\_Cov\_manu

**Calling Module:** Run\_Tracker\_8

**Called Modules:** get\_def\_Cov\_manu

**Inputs:**

trk_type	Track filter type
scan	Current scan
manu_rep	Maneuver anticipation status flag
rep_source	Maneuver anticipation interval (scan lo, scan hi)

**Outputs:**

Cov_man	Maneuver covariance matrix
Cov_man_x	
Cov_man_y	

**Globals:**

Cov\_man20  
Cov\_man30  
Cov\_man\_acc\_x  
Cov\_man\_acc\_y  
Turn\_int

**Description:**

Sets up the maneuver covariance matrix. If a maneuver has been anticipated for the current scan then maneuver noise is applied. Otherwise a small value (essentially zero) is loaded into the matrix.

**Equations:**

Accel\_max = Maximum maneuver acceleration (km/s<sup>2</sup>)  
 $\text{var\_acc} = (\text{Accel\_max})^2$   
 $\text{var\_vel} = (\text{Accel\_max} * \text{scan\_period})^2$   
 $\text{var\_pos} = (0.5 * \text{Accel\_max} * (\text{scan\_period})^2)^2$

$$\text{Cov\_man} = \begin{bmatrix} \text{var\_pos} & 0 & 0 \\ 0 & \text{var\_vel} & 0 \\ 0 & 0 & \text{var\_acc} \end{bmatrix}; \text{trk\_type} \neq 3$$

$$\text{Cov\_man} = \begin{bmatrix} \text{var\_pos} & 0 & 0 & 0 \\ 0 & \text{var\_vel} & 0 & 0 \\ 0 & 0 & \text{var\_pos} & 0 \\ 0 & 0 & 0 & \text{var\_vel} \end{bmatrix}; \text{trk\_type} = 3$$

$$\text{Cov\_man\_x} = \text{Cov\_man\_y} = \text{Cov\_man}$$

**Module Name:** `get_def_Cov_manu`

**Calling Module:** `Assign_Cov_manu`

**Called Modules:** `None`

**Inputs:**

`trk_type`                      Track filter type

**Outputs:**

`Cov_man`                      Maneuver covariance matrix  
`Cov_man_x`  
`Cov_man_y`

**Globals:**

`Cov_man20`  
`Cov_man30`

**Description:**

Loads default values into maneuver covariance matrices.

**Equations:**

`Cov_man = Cov_man20;      trk_type ≠ 3`  
`Cov_man = Cov_man30;      trk_type = 3`  
`Cov_man_x = Cov_man_y = Cov_man;`

where

`Cov_man20 = 1e-10 * eye (3)`  
`Cov_man30 = 1e-6 * eye (4)`

**Module Name:** Get\_Semiaxes\_3

**Calling Module:** Run\_Tracker\_8

**Called Modules:**  
E\_Gate\_Semiaxes\_3  
get\_sig\_track  
Scale\_Shadow\_gates

**Inputs:**

In_Shadow	Current in shadow flag (1 => yes)
In_Shadow_last	Last update in shadow flag
Nshadow_dwells_last	Number of successive dwells in shadow as of last update
gate_case	Gate type flag (0:3)
trk_type	Track filter type (1:3)
Mult	Gate size multiplier
Accel_max	Maximum assumed acceleration
dT	Time (sec) since last update
ang_pred	Angle (rad) of predicted point wrt radar cs
ang_trk	Angle (rad) of track wrt radar cs
sig_rng_km_m	Range measurement error (km)
sig_crng_km_m	Cross range measurement error (km)
Z_s_last	Smoothed state vector (last update)
Z_p	Prediction state vector (current)
Cov_p_vec	prediction covariance array (current)
semi_rng_T_last	Along range semi axes (last)
semi_crng_T_last	Cross range semi axes (last)
semi_trk_T_last	Along track semi axes (last)
semi_ctrk_T_last	Cross track semi axes (last)
semi_max	Maximum allowed semi axis size

**Outputs:**

semi_rng_T	Along range semi axes (current)
semi_crng_T	Cross range semi axes (current)
semi_trk_T	Along track semi axes (current)
semi_ctrk_T	Cross track semi axes (current)

**Globals:** None

**Description:**

Computes the semi axes for the two orientations of elliptical gates used. The first type is oriented along and across range and the second is oriented along and across track. Tracks that are in a shadow have their gate sizes frozen. Otherwise, track gates sizes are determined as a function of the gate case and track filter type selected. This function is done by the routine E\_Gate\_Semiaxes\_3.

**Module Name:** E\_Gate\_Semiaxes\_3

**Calling Module:** Get\_Semiaxes\_3

**Called Modules:** Rotate\_xy2xpyp

**Inputs:**

gate_case	Gate type flag (0:3)
trk_type	Track filter type (1:3)
Mult	Gate size multiplier
Z_p	Prediction state vector (current)
Cov_p_vec	prediction covariance array (current)
ang_pred	Angle (rad) of predicted point wrt radar cs
sig_rng	Range measurement error (km)
sig_crng	Cross range measurement error (km)
ang_trk	Angle (rad) of track wrt radar cs
sig_trk	Along track measurement error (km)
sig_ctrk	Cross track measurement error (km)
semi_max	Maximum allowed semi axes size

**Outputs:**

semi_rng	Along range semi axes (km)
semi_crng	Cross range semi axes (km)
semi_trk	Along track semi axes (km)
semi_ctrk	Cross track semi axes (km)

**Globals:** None

**Description:**

Computes semi axes for elliptical gates oriented along/cross range, and oriented along/cross track. Results depend on which of three gate cases are chosen (cases 1 and 2 require a Kalman filter). In each case the along/cross track gate sizes are computed as scaled versions of the along/cross track measurement errors. However, the along/cross range oriented gate sizes are case dependent. Gate case 1 combines in an rss fashion (1) range and cross range measurement errors from the last track update, and, (2) current prediction covariance estimates in x and y, projected onto the range/cross range axes. The composite gate is formed by multiplying this result by a scale factor. Gate case 2 uses only the scaled prediction covariance estimates in x and y to form the gate. Gate case 3 uses the scaled along/cross range measurement errors to form this gate.

**Equations:**

The quantities sig\_rng and sig\_crng are measurement errors along range and cross range computed from the last report captured by a given track and stored in the track table. The quantities sig\_trk and sig\_ctrk are based on kinematical assumptions and are computed in get\_sig\_track.

alpha beta filter:

$$\begin{bmatrix} \text{semi\_rng} \\ \text{semi\_crng} \\ \text{semi\_trk} \\ \text{semi\_ctrk} \end{bmatrix} = \begin{bmatrix} \text{sig\_rng} \\ \text{sig\_crng} \\ \text{sig\_trk} \\ \text{sig\_ctrk} \end{bmatrix} * \begin{cases} 1.5\text{Mult}, & (\text{gate\_case} < 3) \\ \text{Mult}, & (\text{gate\_case} = 3) \end{cases}$$

Kalman filters:

Get prediction uncertainty along x and y directions ( $\sigma_{px}$ ,  $\sigma_{py}$ ) from the covariance array Cov\_p\_vec.

(gate\_case = 1)

$\Delta\theta = (\text{ang\_pred} - \text{ang\_trk})$

Compute projections of  $\sigma_{px}$  and  $\sigma_{py}$  onto the range-cross range axes.

$$\begin{bmatrix} \sigma_{px'} \\ \sigma_{py'} \end{bmatrix} = \begin{bmatrix} \cos(\Delta\theta) & \sin(\Delta\theta) \\ -\sin(\Delta\theta) & \cos(\Delta\theta) \end{bmatrix} \begin{bmatrix} \sigma_{px} \\ \sigma_{py} \end{bmatrix}$$

Form the "root sum square" (Rss) of  $\sigma_{px'}$  and  $\sigma_{py'}$  with the measurement errors

$$\text{semi\_rng} = \text{Mult} * \sqrt{(\sigma_{px'})^2 + (\text{sig\_rng})^2}$$

$$\text{semi\_crng} = \text{Mult} * \sqrt{(\sigma_{py'})^2 + (\text{sig\_crng})^2}$$

$$\text{semi\_trk} = \text{Mult} * \text{sig\_trk}$$

$$\text{semi\_ctrk} = \text{Mult} * \text{sig\_ctrk}$$

(gate\_case = 2)

$\theta = \text{ang\_trk}$

Compute projections of  $\sigma_{px}$  and  $\sigma_{py}$  onto track-cross track axes.

$$\begin{bmatrix} \sigma_{px''} \\ \sigma_{py''} \end{bmatrix} = \begin{bmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{bmatrix} \begin{bmatrix} \sigma_{px} \\ \sigma_{py} \end{bmatrix}$$



$$\begin{bmatrix} \text{semi\_rng} \\ \text{semi\_crng} \\ \text{semi\_trk} \\ \text{semi\_ctrk} \end{bmatrix} = \text{Mult} * \begin{bmatrix} \text{sig\_rng} \\ \text{sig\_crng} \\ \left| \sigma_{px''} \right| \\ \left| \sigma_{py''} \right| \end{bmatrix}$$

(gate\_case = 3)

$$\begin{bmatrix} \text{semi\_rng} \\ \text{semi\_crng} \\ \text{semi\_trk} \\ \text{semi\_ctrk} \end{bmatrix} = \text{Mult} * \begin{bmatrix} \text{sig\_rng} \\ \text{sig\_crng} \\ \text{sig\_trk} \\ \text{sig\_ctrk} \end{bmatrix}$$

**Module Name:** get\_sig\_track

**Calling Module:** Get\_Semiaxes\_3

**Called Modules:** None

**Inputs:**

Z_s	Smoothed state vector
Accel_max	Maximum allowed acceleration (km/s*s)
dT	Time (sec) since last update

**Outputs:**

sig_trk_km	Along track uncertainty (km)
sig_ctrk_km	Cross track uncertainty (km)

**Globals:** None

**Description:**

Computes along/cross track uncertainties. The along track uncertainty is computed as  $0.5 * A * (dT)^2$  with the along track acceleration A assumed bounded by 0.5g. The across track uncertainty is assumed to be due to constant speed turning only.

**Equations:**

Define the following quantities:

Acc\_at = along track acceleration  $\leq$  Accel\_max

V\_kmps = estimated track speed

$\rho_{km}$  = radius of curvature of turn (km)

Ang = angle of turn over time dT (radians)

$\rho_{km} = (V_{kmps})^2 / \text{Accel\_max}$

$\text{ang} = V_{kmps} * dT / \rho_{km}$

$\text{sig\_trk\_km} = 0.5 \text{ Acc\_at } (dT)^2$

$\text{sig\_ctrk\_km} = \rho_{km} (1 - \cos(\text{Ang}))$

**Module Name:** Scale\_Shadow\_Gates

**Calling Module:** Get\_Semiaxes\_3

**Called Modules:** None

**Inputs:**

gate_scale	Gate size multiplier
semi_rng_T_in	Along range semi axis (input)
semi_crng_T_in	Cross range semi axis (input)
semi_trk_T_in	Along track semi axis (input)
semi_ctrk_T_in	Cross track semi axis (input)

**Outputs:**

semi_rng_T_out	Along range semi axis (output)
semi_crng_T_out	Cross range semi axis (output)
semi_trk_T_out	Along track semi axis (output)
semi_ctrk_T_out	Cross track semi axis (output)

**Globals:** None

**Description:**

Multiplies input gate semi axes by a scale factor.

**Module Name:** Rotate\_xy2xpyp  
**Calling Module:** E\_Gate\_Semiauxes\_3

**Called Modules:** None

**Inputs:**

ang\_rad                      Rotation angle (rad)  
Z                                Input two component vector

**Outputs:**                      Rotated two component vector

**Globals:**                      None

**Description:**

Rotates the two component vector Z through angle ang\_rad.

**Equations:**

$\theta = \text{ang\_rad}$                       and

Let     $\text{Rot} = \begin{bmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{bmatrix}$

$Z\_p = \text{Rot} * Z$

**Module Name:** Test\_E\_Gate  
**Calling Module:** Run\_Tracker\_8  
**Called Modules:** Rotate\_xy2xpyp

**Inputs:**

Z_m	Measurement state vector (x;y;pDop)
Z_p	Prediction state vector (x;vx;ax;y;vy;ay)
ang_rotn	Rotation angle wrt radar cs (radians)
semi_along	Semi axis length along rotated x
semi_across	Semi axis length along rotated y

**Outputs:**

In_E_Gate	Inclusion test flag (1 => inclusion)
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**Globals:** None

**Description:**

Tests if measured point Z\_m lies within an ellipse which is (1) oriented at an angle "ang\_rotn" with respect to the radar coordinate system, (2) centered on the predicted position, Z\_p, and, (3) has semi axes of length semi\_along and semi\_across. Returns a value of zero if test fails, and a value of one if test passes.

**Equations:**

Let (X<sub>m</sub>, Y<sub>m</sub>) and (X<sub>p</sub>, Y<sub>p</sub>) denote the measured predicted points, respectively. Transform the predicted-measurement position error into the local coordinate system of the ellipse, centered on the predicted point. The transformed residual errors (ΔX', ΔY') are

$$\begin{bmatrix} \Delta X' \\ \Delta Y' \end{bmatrix} = \text{Rot} * \begin{bmatrix} X_p - X_m \\ Y_p - Y_m \end{bmatrix}$$

where

$$Y_e = \text{semi\_across} * \sqrt{1 - (\Delta X' / \text{semi\_along})^2}$$

The condition for inclusion of (X<sub>m</sub>, Y<sub>m</sub>) within the ellipse is

$$|\Delta Y'| \leq Y_e \quad \text{and} \\ |\Delta X'| \leq \text{semi\_along}$$

**Module Name:** Test\_Smile\_Gate

**Calling Module:** Run\_Tracker\_8

**Called Modules:** None

**Inputs:**

T_s	Scan period (sec)
Z_m	Measurement state vector (x;y;pDop)
Z_p	Prediction state vector (x;vx;ax;y;vy;ay)
Z_s_last	Smoothed state vector (last update)
x_last_km	x position (last update)
y_last_km	y position (last update)
ag_HI	Maximum turn acceleration (g units)
semi_rng	Measurement ellipse semi axis along range
semi_crng	Measurement ellipse semi axis cross range

**Outputs:**

In_gate_m	Inclusion test flag (1 => included)
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**Globals:** None

**Description:**

Tests if measurement ellipse intersects centripetal maneuver "smile" shaped gate.

**Equations:**

The smile gate envelope is defined by two equations that are parameterized by the time  $t$ , ranging from the current report time to one scan period later. Let  $v$  denote the constant target speed and  $\rho$  be the radius of curvature of the turn. Then

$$\rho = v^2 / (9.8 * ag\_HI) \text{ and}$$
$$Ang = v (T\_s - t) / \rho.$$

With these the equations for the maneuver envelope in the local track coordinate system, centered on the last smoothed position, are

$$x'_s = vt + \rho \sin(ang)$$
$$y'_s = \rho(1 - \cos(ang))$$

The gate test is performed by:

(1) Transforming the boundary points  $(x'_s, y'_s)$  to the radar coordinate system

$$\begin{bmatrix} x_s \\ y_s \end{bmatrix} = \begin{bmatrix} x_{\text{last\_km}} \\ y_{\text{last\_km}} \end{bmatrix} + \begin{bmatrix} \cos(\theta_{\text{trk}}) & -\sin(\theta_{\text{trk}}) \\ \sin(\theta_{\text{trk}}) & \cos(\theta_{\text{trk}}) \end{bmatrix} \begin{bmatrix} x'_s \\ y'_s \end{bmatrix}$$

where  $\theta_{\text{trk}}$  denotes the instantaneous track angle.

(2) Transforming  $(x_s, y_s)$  to local coordinate system centered on the measured point and oriented at the angle of the measured point  $\theta_m$ .

$$\begin{bmatrix} \Delta X''_s \\ \Delta Y''_s \end{bmatrix} = \begin{bmatrix} \cos\theta_m & \sin\theta_m \\ -\sin\theta_m & \cos(\theta_m) \end{bmatrix} \begin{bmatrix} x_s - x_m \\ y_s - y_m \end{bmatrix}$$

(3) Test each maneuver envelope point for inclusion within the measurement ellipse

$$|\Delta X''_s| \leq \text{semi\_rng}$$

$$|\Delta Y''_s| \leq Y_e$$

$$Y_e = \text{semi\_crng} \sqrt{1 - (\Delta X''_s / \text{semi\_rng})^2}$$

**Module Name:** **Pred\_Shadow\_Test**

**Calling Module:** **Run\_Tracker\_8**

**Called Modules:**

get\_def\_Cov\_manu  
predict\_shlx\_x  
Test\_In\_Shadow

**Inputs:**

trk_type	Type of tracking filter (1:3)
Z_s_last	Smoothed state vector (last update)
Cov_s_last_vec	Smoothed covariance array (last update)
dT	Time interval (sec) since last update

**Outputs:**

Z_p	Prediction state vector
In_Shadow	Shadow status flag (1 => in shadow)

**Globals:**

Cov\_man20 Cov\_man30  
x\_sh\_LO x\_sh\_HI y\_sh\_LO y\_sh\_HI

**Description:**

Tests if predicted position falls within a shadow region. Sets flag to 1 if in shadow. [The shadow zones were specified as a set of rectangular tiles by the scenario generator. The array x\_sh\_LO, x\_sh\_HI, y\_sh\_LO, and, y\_sh\_HI specify low and high positions of each time.]



**Module Name:** Test\_In\_Shadow

**Calling Module:** Shadow\_Test

**Called Modules:** None

**Inputs:**

Z_p	Prediction state vector (x;vx;ax;y;vy;ay)
x_sh_LO	Array specifying low x position of shadow tiles
x_sh_HI	Array specifying high x position of shadow tiles
y_sh_LO	Array specifying low y position of shadow tiles
y_sh_HI	Array specifying high y position of shadow tiles

**Outputs:**

In_Shadow	In shadow status flag (1 => in shadow)
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**Globals:** None

**Description:**

Tests if predicted position falls within any of the shadow tiles that were specified by the scenario generator.

**Module Name:** Discrete\_Test

**Calling Module:** Run\_Tracker\_8

**Called Modules:** E\_Discrete\_Test

**Inputs:**

Z_p	Prediction state vector
gate_case	Gate case flag (1:3)
ang_pred	Angle (rad) of predicted point
semi_rng_T	Semi axis length of along range ellipse
semi_crng_T	Semi axis length of cross range ellipse
ang_trk	Angle (rad) of track
semi_trk_T	Semi axis length of along track ellipse
semi_ctrk_T	Semi axis length of cross track ellipse

**Outputs:**

In_Discrete	Discrete capture flag (1 => discrete present)
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**Globals:** None

**Description:**

Tests if discrete point falls within either of two gates oriented along/across range or along/cross track.

**Equations:**

(gate\_case = 1)

Each discrete point is tested for inclusion in a single ellipse, centered on the predicted point, and oriented along range/cross range. The semi axes are given by semi\_rng\_T and semi\_cross\_T.

(gate\_case > 1)

Each discrete point is tested for inclusion if either of two ellipses, both centered on the predicted point. The first ellipse is the same as defined above. The second ellipse is oriented along track/cross track and has semi axes given by semi\_trk\_T and semi\_ctrk\_T.

**Module Name:** E\_Discrete\_Test

**Calling Module:** Discrete\_test

**Called Modules:** Test\_E\_Gate

**Inputs:**

Z_p	Prediction state vector (x;vx;ax;y;vy;ay)
ang_rotn	Rotation angle (rad) of ellipse gate wrt radar cs
semi_along	Semi axis length of gate along rotated x
semi_across	Semi axis length of gate along rotated y

**Outputs:**

In_Discrete	Discrete inclusion flag (1 => discrete in)
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**Globals:** Ndiscrete X\_D\_Vec Y\_D\_Vec

**Description:**

Tests if any of a set of discrete points falls within an elliptical gate: (1) centered on the predicted point, (2) oriented at an angle "ang\_rotn" wrt radar coordination system, (3) having semi axes lengths of semi\_along along the rotated x axis and of length semi\_across along the rotated y axis.

The discrete locations were specified by the scenario generator and given here by the arrays X\_D\_Vec and Y\_D\_Vec.

**Module Name:** TR\_Assoc\_Max\_T

**Calling Module:** Run\_Tracker\_8

**Called Modules:** cmp\_track\_age

**Inputs:**

Corr	Binary correlation matrix (trks, reps)
Dist	Distance matrix (trks, reps)
NAT_in	Number of active tracks in Table_T
Nrep_in	Number of reports from current scan
scan	Current scan

**Outputs:**

Update	Binary array of updated tracks (1 => updated)
unAssoc	Binary array of unassociated tracks
unused	Binary array of unused reports
Asgn_Reps	Array of reports assigned to each track

**Globals:** Table\_T

**Description:**

Assigns unique reports to corresponding track. If a report is common to multiple tracks then it is assigned to the oldest track.

**Module Name:** TR\_Assoc\_Min\_D

**Calling Module:** Run\_Tracker\_8

**Called Modules:** cmp\_track\_age

**Inputs:**

Corr	Binary correlation matrix (trks, reps)
Dist	Distance matrix (trks, reps)
NAT_in	Number of active tracks in Table_T
Nrep_in	Number of reports from current scan
scan	Current scan

**Outputs:**

Update	Binary array of updated tracks (1 => updated)
unAssoc	Binary array of unassociated tracks
unused	Binary array of unused reports
Asgn_Reps	Array of reports assigned to each track

**Globals:** Table\_T

**Description:**

Assigns unique reports to corresponding track. If multiple reports are common to a given track then the closest is assigned to the track.

**Module Name:** cmp\_track\_age

**Calling Module:** TR\_Assoc\_Max\_T

**Called Modules:** None

**Inputs:**

trk	Track index into Table_T
scan	Current scan

**Outputs:**

age	Track age since first became firm
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**Globals:** Table\_T

**Description:**

Computes age of a track in scan units. Age is defined as time since track became firm.

**Module Name:** `get_track_ang`

**Calling Module:** `Run_Tracker_8`

**Called Modules:** `None`

**Inputs:**

<code>Z_p</code>	Prediction state vector
<code>Z_s_last</code>	Smoothed state vector (last update)

**Outputs:**

<code>ang_trk</code>	Angle of track (radians)
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**Globals:** `None`

**Description:**

Computes track angle in radians with respect to the radar coordinate system.

**Module Name:** **get\_pred\_ang**

**Calling Module:** **Run\_Tracker\_8**

**Called Modules:** **None**

**Inputs:**  
    **Z\_p**                      **Prediction state vector**

**Outputs:**  
    **ang\_pred**                **Prediction of Angle**

**Globals:**                      **None**

**Description:**

**Computes angle of predicted point with respect to the radar coordinate system.**



**Module Name:** **update\_error**

**Calling Module:** Run\_Tracker\_8

**Called Modules:** None

**Inputs:**

N_Hits	Number of times track captured a report
Z_tru	True state vector
Z_p	Prediction state vector
TID	Track ID number

**Outputs:**

mean_error	Position error (predicted – true) averaged over N_Hits
sigma_error	Standard deviation of position error

**Globals:**

SUM1\_ERROR  
SUM2\_ERROR

**Description:**

Computes running mean and standard deviation of position error between predicted and true values as a function of track ID number. Stores running first and second moments in global buffers SUM1\_ERROR and SUM2\_ERROR.

**Module Name:** Load\_P\_DET

**Calling Module:** Run\_Tracker\_8

**Called Modules:** None

**Inputs:**

Ntk_max	Number of tracks in P_DET buffer
scan	Current scan index
N_HITS	Number of captures for track
N_EVENTS	Number of captures plus misses for track

**Outputs:** None

**Globals:** Table\_T\_P\_DET

**Description:**

Computes fraction of captures for specific track over its evolution. Stores results in global buffer P\_DET.

**Module Name:** Load\_dBuf\_4

**Calling Module:** Run\_Tracker\_8

**Called Modules:** get\_Table\_Trk\_4

**Inputs:**

Ntrk	Current number of tracks
dBuf_last_cnt	Number of dropped HP tracks in buffer dBuf at last scan

**Outputs:**

dBuf_cnt	Number of dropped HP tracks in buffer dBuf currently
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**Globals:**

dBuf  
Table\_T  
DROPPED TENT FIRM  
DP LP

**Description:**

Updates the high priority dropped track buffer each scan. The buffer dBuf has three components: (time, x position, y position)

**Module Name:** plot\_dBuf

**Calling Module:** Run\_Tracker\_8

**Called Modules:** None

**Inputs:**

dBuf_new	Counter in dropped track buffer
plot_vec	Min and max s and y values of plot space

**Outputs:** None

**Globals:** dBuf

**Description:**

Plots dropped high priority tracks each scan.